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(54) **METHOD AND DEVICE FOR PROCESSING BANKNOTES**

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G07D 11/00 (2006.01)
G07F 19/00 (2006.01)
G07D 7/00 (2006.01)

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CPC ***G07D 11/0066*** (2013.01); ***G07D 7/0033*** (2013.01); ***G07D 11/0075*** (2013.01)

(58) **Field of Classification Search**
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USPC 235/375, 380, 379; 382/100, 135, 23; 380/28
See application file for complete search history.

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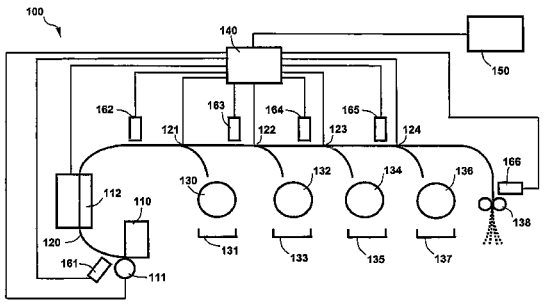
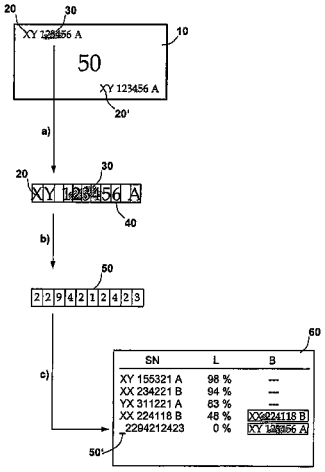
(57) **ABSTRACT**

A bank note processing apparatus processes bank notes by first singling the bank notes, then transporting the singled bank notes through a sensor device and on to several output units. Before delivering the bank notes to the output units, the bank notes are checked by evaluating data as well as determining and storing serial numbers of the bank notes, wherein the bank notes are delivered to the output units depending on the result of the checking. Further, in determining the serial numbers of the bank notes, a unique serial number is established for each bank note.

28 Claims, 2 Drawing Sheets





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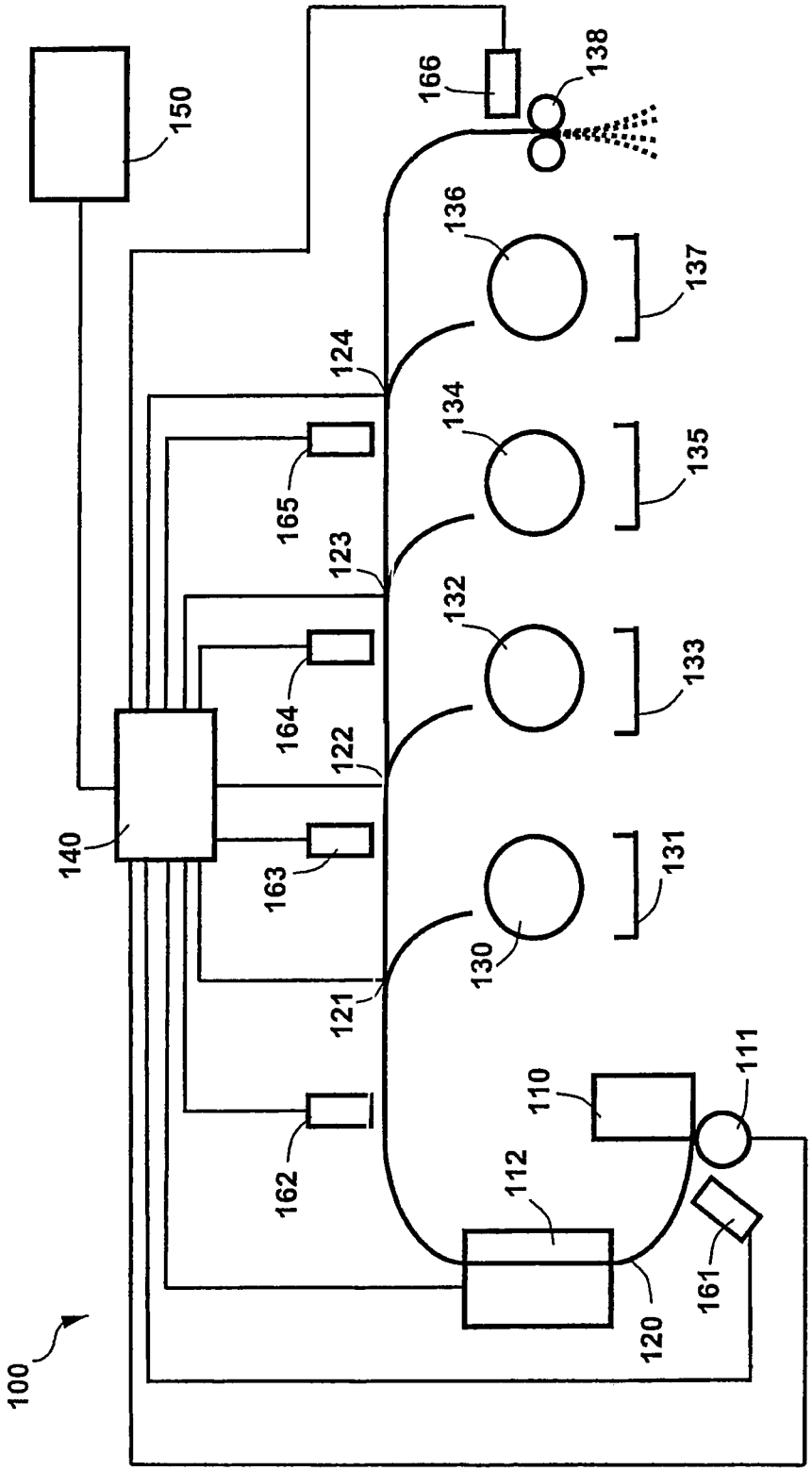
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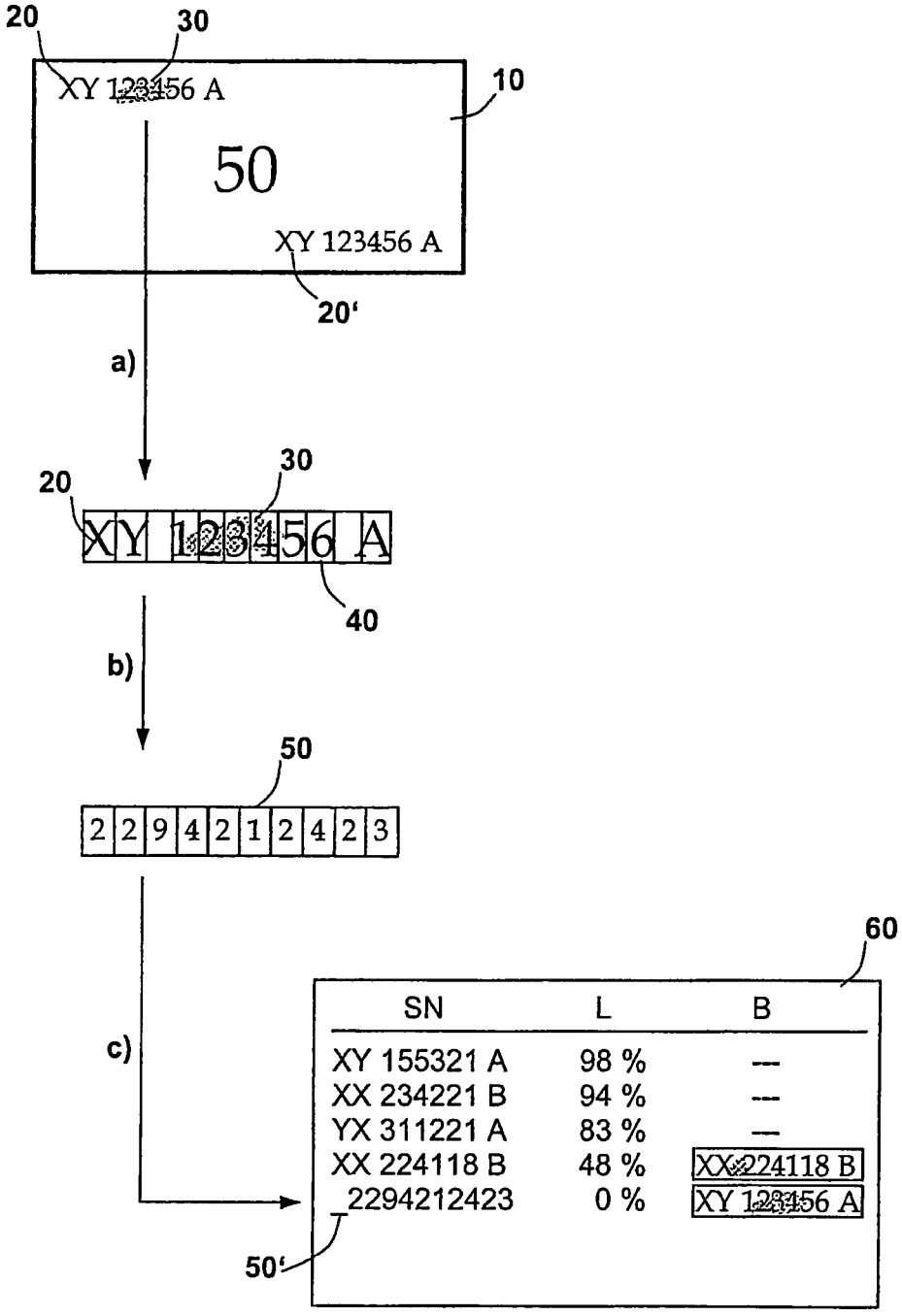
Fig. 1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a)
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2 2 9 4 2 1 2 4 2 3
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c)
SN L B
XY 155321 A 98 % —
XX 234221 B 94 % —
YX 311221 A 83 % —
XX 224118 B 48 % XX 224118 B
2294212423 0 % XY 123456 A
50'

Fig. 2

METHOD AND DEVICE FOR PROCESSING BANKNOTES

FIELD OF THE INVENTION

The present invention concerns a method and an apparatus for processing bank notes.

BACKGROUND

When processing bank notes a stack of bank notes is inserted in an input pocket proceeding from which the bank notes are singled. The singled bank notes are transferred to a transportation system, checked by sensors and allocated to output pockets in dependence on the checking. Therein the bank notes are checked for authenticity, soiling, damage, type, etc. and sorted into the different output pockets depending thereon. Bank notes which were not recognized clearly or in an error-free manner are sorted into a special output pocket, a reject pocket.

In the processing of bank notes, the recognition of a serial number printed on the bank notes plays an increasingly important role, in order to e. g. improve the quality of processing.

From DE 102 39 226 A1 for example an apparatus and a method for checking bank notes is known, in which the serial numbers of the bank notes to be processed are detected by sensors and are determined by a control device. On the basis of the serial number it is determined for each bank note to which batch and/or issue the respective bank note belongs. Depending on the batch and/or issue, reference data are chosen for processing the respective bank note, which reference data take account of the specific properties of the respective batch and/or issue, whereby the quality of processing of the bank notes altogether is improved.

When serial numbers of bark notes are determined in the processing of the bank notes and are used for the processing operation or for other processes, e. g. the tracking of bank notes, it is of utmost importance to determine the serial numbers in a correct and error-free manner. In the case that the serial number is recognized incorrectly, the processing operation as well as the other processes are considerably impaired or rendered impossible.

SUMMARY

It is therefore the object of the present invention to specify a method and an apparatus for the processing of bank notes that permits a secure determination of serial numbers printed on the bank notes.

Therein, for the processing of bank notes, the invention starts out from singling the bank notes, transporting the singled bank notes through a sensor device to several output units, checking the bank notes by the evaluation of data as well as determining and storing serial numbers of the bank notes, subsequent to which the bank notes are transported to the output units depending on the result of the checking, wherein in the determination of the serial numbers of the bank notes a unique serial number is established for each bank note.

The advantage of the invention is above all to be seen in the fact that by establishing a unique serial number for each processed bank note a secure allocation of all processed bank notes is possible, since the bank notes are individualized by the unambiguously established serial numbers and can therefore be distinguished from each other at any time, whereby the processing of the bank notes altogether is improved.

In a development the processing of bank notes is to be improved also for the case that disturbances occur during the processing of the bank notes.

For this purpose the development provides the storing of the order of the determined serial numbers of the bank notes. Thereby a secure tracking and allocation of processed bank notes is still possible in the case that the processing is impaired by disturbances.

Further advantages of the present invention can be found in the dependent claims as well as the following description of one embodiment with reference to figures.

BRIEF DESCRIPTION OF THE DRAWINGS

The figures are described as follows:

FIG. **1** a schematic structure of a bank note processing apparatus for processing bank notes, and

FIG. **2** a determination of a serial number of a bank note.

DETAILED DESCRIPTION OF VARIOUS EMBODIMENTS

FIG. **1** shows a schematic structure of a bank note processing apparatus **100** for processing bank notes.

The bank note processing apparatus **100** has an input unit **110**, into which the bank notes or deposits are inserted. A singling device **111** is connected to the input unit **110**, which singling device removes single bank notes from the input unit **110** and transfers them individually to a transportation system **120**.

The transportation system **120** transports the individual bank notes through a sensor device **112**, which determines data by means of sensors, which data for example permit conclusions about features of the respective bank note such as authenticity, state, type, i. e. the currency and denomination of the bank note, etc. The determined data of the bank note are transferred to a control device **140** which evaluates the data by means of stored reference data and allocates the determined features such as currency, denomination, authenticity, state, etc. to each processed bank note. On the basis of the determined features the further flow of the bank notes through the bank note processing apparatus **100** is controlled by the control device **140**. For this purpose the control device **140** acts on diverters **121** to **124** which are part of the transportation system **120** and which permit to store the bank notes in output units **130** to **138** in accordance with the determined features. The output units **130** to **137** can for example be embodied as spiral slot stackers, which stack the bank notes to be stored onto deposit means **131**, **133**, **135**, **137** by means of rotating units **130**, **132**, **134**, **136** having spiral slots. The output unit **138** can be embodied as a shredder, e. g. in order to destroy bark notes which are no longer fit for bank note circulation.

The operation of the bank note processing apparatus **100** is controlled by means of an input/output device **150** having e. g. a display and/or a printer as well as a keyboard or a touch screen for this purpose.

The sensor device **112** can have a sensor which produces an image of the respective bank note, e. g. a CCD sensor, whose data are transferred to the control device **140** for further evaluation. To make sure that the serial number can always be recognized independently of the position of the bank notes, the sensor device **112** can also have a second CCD sensor, wherein the two CCD sensors are arranged in such a way that they detect both sides of the respective bank note. In a first step the control device **140** determines a section of the bank note containing the serial number. This can for example be done by determining the currency and the denomination,

since the position of the serial number within the bank note is known. A further possibility is the use of an infrared sensor, since serial numbers are frequently printed using an ink which absorbs infrared light, so that the section of the bank note containing the serial number can be determined. In a second step, the control device **140** determines the serial number. For this purpose for example known methods for the recognition of characters can be used. The thus determined serial number can be used for the further processing. In addition, the determined features of the respective bank note, such as authenticity, state, currency, denomination, etc. can be allocated **140** the serial number and can be stored together with the serial number in the control device **140** for the further processing.

Problems in the processing of bank notes in the bank note processing apparatus **100** can arise when disturbances such as jams etc. occur. For the detection of disturbances the bank note processing apparatus **100** can for example have detectors **161** to **166**, e. g. light barriers. The light barriers **161** to **166** are arranged along the transportation system **120** and enable the supervision of the proper processing of the individual bank notes in the bank note processing apparatus **100**, from the input unit **110** to the output units **130** to **137** or to the shredder **138**. For this purpose the signals of the light barriers **161** to **166** are evaluated by the control device **140**. When a disturbance is detected or assumed, the control device **140** can stop the further processing of bank notes by the bank note processing apparatus **100**. For this purpose in particular the singling device **111**, and optionally also the transportation system **120** additionally, is stopped. It can equally be provided that upon the occurrence of a disturbance the bank notes disposed in the transportation system **120** are transported to one of the output units **130** to **137** which is used as reject pocket, so that these bank notes can be processed separately, e. g. by an operator.

Such a disturbance can for example be a jam of the bank notes in the transportation system **120**. A jam is detected by the control device **140** when e. g. an expected signal by one of the light barriers **161** to **166** is not provided after a predetermined period or when one of the light barriers **161** to **166** emits a permanent signal. The bank notes being processed at this time, e. g. the bank notes disposed in the transportation system **120**, are subsequently for example removed by the operator and processed separately.

In the separate processing it can be provided that the operator has the serial numbers as well as the features allocated to the serial numbers, such as authenticity, state, currency, denomination, etc. of the respectively corresponding bank note shown by means of the display of the input/output device **150**. By means of the serial number as well as of the allocated features the operator can identify the bank notes concerned by means of their serial numbers and can process them as intended, for example allocate them to a certain output unit. It is also possible thereby to restore the original order of the bank notes. For this purpose the operator reads the serial numbers of the bank notes and compares them to the order of the stored serial numbers. This is above all important when different deposits are processed by the bank note processing apparatus **100** simultaneously, to provide for the allocation of the bank notes to the respective deposit. Therein, the different deposits can be separated from each other by separator cards.

It can also be provided that the detectors **161** to **166** can detect the serial numbers of the bank notes to be processed, e. g. such as described above in connection with the sensor device **112**. In this case the path of each individual bank note during the processing in the bank note processing apparatus **100** can be monitored comprehensively by the control device **140**. In particular it is in this case not only possible to establish by means of the detector **166** arranged immediately in front of the shredder **138** that a bank note is destroyed, but the identity of the bank note can be established by means of the serial number.

The detection of the serial numbers of bank notes is frequently problematic, e. g. since the serial number is partly covered. In FIG. **2** a bank note **10** with a serial number **20** is shown by way of example. A part of the serial number **20** is more or less strongly covered by a spot **30**, rendering the recognition of the serial number **20** impossible. In the processing of the bank note **10** in the bank note processing apparatus **100** the data of the image of the bank note **10** are transmitted from the sensor device **112** to the control device **140**.

In a first step a) the control device **140** establishes the position and the section **40** of the serial number **20** within the bank note **10**, e. g. as described above.

In a second step b) a serial number **50** is determined on the basis of the image or of the section **40** established before by means of a method for the recognition of characters (OCR: optical character recognition).

In a third step c) the determined serial number **50** is stored in a database **60** of the control device **140** for the further processing SN.

In the database **60** further information concerning the serial number **20** or the respective bank note **10** can be stored in addition to the determined serial number **50**. It can for example be stored how well the serial number **20** could be read or recognized. An information L concerning the readability or recognizability can e. g. be stored as a percentage information. In the case that the readability or recognizability is below a predetermined threshold value, e. g. 50%, a further information B characterizing the bank note **10** can be stored additionally. This information can be the image of the bank note **10** or a part of the image of the bank note **10**, in particular the section **40** established for the serial number **20**. Moreover, in the database **60** also the above-described features of the bank notes can be stored and linked with the respective serial number which is determined by the control device **140** on the basis of the data of the sensor device **112**. Likewise, data of the sensor device **112** can be stored for each bank note.

In the case that the determination of the serial number **20** fails, e. g. since a part of the serial number **20** is covered by a spot **30** and cannot be recognized at all or not completely on the basis of the data of the sensor device **112** by means of OCR, a special algorithm can be used. This algorithm produces a unique identification on the basis of the image information (with spot) contained in the data of the sensor device **112**, which identification can consist of alphanumeric characters. The special algorithm can furthermore e. g. divide the section **40** of the serial number **20** into individual rectangles. Within each rectangle the average brightness value is yielded from the image information contained therein, and the average brightness value is subsequently normalized to a one-digit number or a character. Instead of the average brightness value also other evaluations are thinkable, such as e. g. the most frequent brightness value in a rectangle or similar. Likewise, instead of rectangles, also a different division can be chosen. The string of the thus yielded alphanumeric characters results in the unique identification. To be able to recognize at once that it is a unique identification **50** and not a detected serial number **20**, the unique identification **50** can be marked by a special character **50'**.

In the case that the determination of the serial number **20** fails, e. g. since a part of the serial number **20** is covered by a spot **30** and can not be recognized at all or not completely,

instead of the above-described procedure an individual and unique identification **50** can also be assigned in such a way that a random string of alphanumeric characters is produced, e. g. a random number. This unique identification **50** differs in particular from all serial numbers stored in the database **60** which were determined beforehand, also from the unique identifications assigned beforehand. It is thus made sure that the features of the bank note **10** allocated to the thus assigned identification **50** can be associated unequivocally with the corresponding bank note **10** on the occasion of a later checking. In the database **60** at least the image or the established section **40** is stored together with this unique identification **50**, in order to enable the identification of the bank note **10** in the special processing on the basis of the stored image or the established section **40**.

A further improvement of the recognition of the serial numbers of bank notes can be achieved through the evaluation of the check digits contained in the serial numbers by the control device **140**. This can also be effected in addition to the above-described procedure.

It can be established through the evaluation of the check digit whether the recognized serial number is an admissible serial number. It is thus assured that the serial number was recognized correctly by the control device **140**. However, in the case that it is established that the recognized serial number is not an admissible serial number, a unique identification **50** can be assigned and can be stored together with at least the established area **40**—as described above. Particular check-digit systems also allow for the correction of one or several incorrectly recognized numbers and/or characters of the serial number recognized by the control device **140**. It is thus rendered possible as an alternative or in addition to correct the faulty serial number instead of assigning a unique identification **50** for incorrectly recognized serial numbers. In known check-digit systems it is for example provided that a horizontal checksum is calculated of the digits of the serial number. In the case that the horizontal checksum has more than one digit, a horizontal checksum is calculated until the horizontal checksum is a one-digit number. This number is then compared to the check digit. If the horizontal checksum and the check digit correspond to each other, the serial number is correct. Also characters contained in the serial number can be taken account of in the calculation of the horizontal checksum, for this purpose a particular value or a particular number is assigned to the character, e. g. the location in the alphabet, thus 1 for A, 2 for B, etc.

A further improvement of the recognition of serial numbers is possible in the case that a serial number **20**, **20'** is applied to the bank note **10** several times. In this case, the serial numbers **20**, **20'** applied several times can be determined by the control device **140** in the above-described manner and can be compared by the control device **140**. In case of differences the bank note is a forgery or suspected of forgery.

A divergence can indicate a forgery which was created on the basis of several authentic bank notes, wherein the authentic bank notes were cut into pieces and stuck together again. Therein the bank notes are cut into pieces in such a manner that the stuck-together forgeries are slightly smaller than an authentic bank note, whereby it is possible to compose e. g. 21 forgeries out of 20 bank notes, which forgeries as a rule have different serial numbers **20**, **20'**.

However, the occurrence of a difference can also indicate a faulty recognition of one of the serial numbers **20**, **20'** by the control device **140**. If it can be ruled out by e. g. the checking of other features of the bank note that it is a forgery, it is possible to correct the faultily recognized serial number by means of at least one second, correctly recognized serial number, since due to the presence of several serial numbers **20**, **20'** more data are available for the evaluation by the control device **140**.

The measures for the recognition of the serial number described for several serial numbers **20**, **20'** can also be carried out in addition to the above-described procedures. In the case that the serial number is applied to the bank note more than twice, of course further comparisons are possible.

It is obvious that instead of bank notes also other documents of value, such as checks, admission tickets, vouchers, separator cards, etc. can be processed in the above-described manner, provided that these have an individualization comparable to the serial number of bank notes.

In the above examples the processing of bank notes by means of a bank note processing apparatus was explained, which apparatus is suitable for the checking and sorting of bank notes. It is obvious that the described processing and recognition of serial numbers is also suitable for other bank note processing apparatus in which serial numbers are detected, e. g. bank note processing apparatus for depositing and/or paying out bank notes, etc.

The invention claimed is:

1. A method for the processing of bank notes, the method comprising the steps:

singling the bank notes,

transporting the singled bank notes through a sensor device to several output units,

checking the bank notes by evaluating data of the sensor device,

determining and storing of serial numbers of the bank notes by a control device, and

transporting the bank notes into the output units, in dependence on the checking step,

in determining the serial numbers of the bank notes, a unique serial number is established for each bank note and stored in a database;

wherein the unique serial number established for each bank note is a detected serial number or a generated unique identification;

wherein, in the case that any portion of the serial number for a bank note is indeterminable, generating and storing a unique identification for this bank note in the database as the unique serial number instead of a detected serial number;

wherein the unique identification comprises at least one of a random string of digits and alphanumeric characters differing from all previously determined serial numbers stored in the database and from previously assigned unique identifiers.

2. The method according to claim **1**, wherein an image or partial image of the bank note is stored together with the serial number or the unique identification.

3. The method according to claim **1**, wherein the determined serial numbers are checked by means of check digits contained in the serial numbers.

4. The method according to claim **3**, wherein serial numbers recognized as faulty in the checking step are corrected by means of one or several check digits.

5. The method according to claim **1**, wherein serial numbers applied to the bank note several times are detected and the serial numbers are compared to each other.

6. The method according to claim **5**, wherein, upon the occurrence of differences between the serial numbers compared, the bank note is classified as a forgery or as suspected of forgery.

7. The method according to claim 5, wherein, upon the occurrence of differences between the serial numbers compared, any incorrect serial number is corrected.

8. The method according to claim 1, wherein features of the bank note are allocated to the serial number of each bank note and are stored together with the serial number.

9. The method according to claim 1, wherein the order of serial numbers of the processed bank notes is stored.

10. An apparatus for processing bank notes, the apparatus comprising:

an input unit for inserting bank notes,

a singling device for singling the inserted bank notes,

a transportation system for transporting the singled bank notes,

a sensor device for checking the singled bank notes,

a control device for checking the bank notes by means of data of the bank notes provided by the sensor device and for determining the serial numbers of the bank notes,

output units into which the bank notes are transported by the transportation system, controlled by the control device, in dependence on the checking by the control device,

wherein the control device establishes a unique serial number for each bank note and stores the same in a database, the unique serial number established for each bank note being a detected serial number or a generated unique identification;

wherein, in the case that any portion of the serial number for a bank note is indeterminable, the control device generates and stores a unique identification for the bank note as the unique serial number instead of a detected serial number;

wherein the control device generates a random string of at least one of digits and alphanumeric characters for the unique identification which differs from all previously determined serial numbers stored in the database and from all previously assigned unique identifiers.

11. The apparatus according to claim 10, wherein the control device is arranged to store an image or partial image of the bank note together with the serial number or the unique identification.

12. The apparatus according to claim 10, wherein the control device is arranged to store the order of the serial numbers of the processed bank notes.

13. The apparatus according to claim 10, including several detectors connected to the control device which are arranged along the transportation system, which detectors are configured to detect the serial numbers of the bank notes.

14. A method for the processing of bank notes, the method comprising the steps:

singling the bank notes,

transporting the singled bank notes through a sensor device to several output units,

checking the bank notes by evaluating data of the sensor device,

determining and storing of serial numbers of the bank notes by a control device, and

transporting the bank notes into the output units, in dependence on the checking step,

in determining the serial numbers of the bank notes, a unique serial number is established for each bank note, the unique serial number established for each bank note being a detected serial number or a generated unique identification;

wherein, in the case that any portion of the serial number for a bank note is indeterminable, generating and storing a unique identification for this bank note as the unique serial number instead of a detected serial number;

wherein the unique identification comprises at least one of a string of digits and alphanumeric characters derived from an image or a partial image of the bank note.

15. The method according to claim 14, wherein the image or partial image of the bank note is stored together with the serial number or the unique identification.

16. The method according to claim 14, wherein the determined serial numbers are checked by means of check digits contained in the serial numbers.

17. The method according to claim 16, wherein serial numbers recognized as faulty in the checking step are corrected by means of one or several check digits.

18. The method according to claim 14, wherein serial numbers applied to the bank note several times are detected and the serial numbers are compared to each other.

19. The method according to claim 18, wherein, upon the occurrence of differences between the serial numbers compared, the bank note is classified as a forgery or as suspected of forgery.

20. The method according to claim 18, wherein, upon the occurrence of differences between the serial numbers compared, any incorrect serial number is corrected.

21. The method according to claim 14, wherein features of the bank note are allocated to the serial number of each bank note and are stored together with the serial number.

22. The method according to claim 14, wherein the order of serial numbers of the processed bank notes is stored.

23. An apparatus for processing bank notes, the apparatus comprising:

an input unit for inserting bank notes,

a singling device for singling the inserted bank notes,

a transportation system for transporting the singled bank notes,

a sensor device for checking the singled bank notes,

a control device for checking the bank notes by means of data of the bank notes provided by the sensor device and for determining the serial numbers of the bank notes,

output units into which the bank notes are transported by the transportation system, controlled by the control device, in dependence on the checking,

wherein the control device establishes a unique serial number for each bank note, the unique serial number established for each bank note being a detected serial number or a generated unique identification;

wherein, in the case that any portion of the serial number for a bank note is indeterminable, the control device generates and stores a unique identification for them bank note as the unique serial number instead of a detected serial number;

wherein the control device generates a string of at least one of digits and alphanumeric characters for the unique identification, the digits and alphanumeric characters being determined on the basis of the data of the bank notes provided by the sensor device, the data corresponding to an image or a partial image of the bank note.

24. The apparatus according to claim 23, wherein the control device is arranged to store the image or partial image of the bank note together with the serial number or the unique identification.

25. The apparatus according to claim 23, wherein the control device is arranged to store the order of the serial numbers of the processed bank notes.

26. The apparatus according to claim 23, including several detectors connected to the control device which are arranged

along the transportation system, which detectors are configured to detect the serial numbers of the bank notes.

27. The method according to claim **1**, wherein a special character is included in the unique identification to distinguish the unique identification from a detected serial number.

28. The method according to claim **2**, wherein the image or partial image of the bank note includes a section of the bank note established as the serial number of the bank note.

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